

# CONTROL

## ADVANCED CONTROL SMORGASBORD

Processors Now Have A Lot of Tasty Choices When It Comes to Advanced Control Technologies. **By Gregory K. McMillan, Terrance L. Blevins, and Willy K. Wojznis**

**B**y the time many of us were being assigned to our first electronic control room projects in the '70s, some very smart engineers had already developed ways to effectively exploit PID controllers. Relative-gain arrays and simple decoupling of the controller output were used to analyze and deal with interaction on a steady-state gain basis. The outputs from PID controllers, with a constraint variable defining its process variable, were sent to a signal selector to form an override control scheme to maximize or minimize a manipulated variable.

Disturbance measurements were added to, or multiplied by, the controller output for feed-forward control. Flows were multiplied by a factor for flow feed-forward and blending. The contribution from reset was preloaded and held to reduce overshoot for batch control. Nonlinear controllers were available that reduced the gain or turned off reset when the process variable was near setpoint (within a notch or dead band) and were particularly effective for pH and surge-tank level control. Adaptive control was achieved by adjusting the dead-band width to suppress oscillations. In some cases, the gain could be made proportional to the error to provide error-squared controllers. Dead-time compensators were developed including the Smith Predictor that cancels out the dead time in the response of the variable used for feedback control. Although transportation delays still existed, unless the loop was accelerated toward the speed of light, the elimination of dead time seen by the PID controller enabled users to tune the controller much more aggressively.

Signal characterization of the controller output and input were used to cancel valve and orifice nonlinearity, respectively. Many of these PID techniques were refined for boiler control. Later, signal characterization was also being applied to the controller input to cancel out the nonlinearities of the process variable for neutralizer pH and distillation column temperature control. A whole host of supervisory control techniques were programmed into a host computer. An experienced engineer could employ all sorts of tricks, but each function generally required a separate device, and the tuning and maintenance of these were often too tricky for even the most to accomplished personnel.

Furthermore, the degree of advanced control was set during the project estimation stage because adaptive, batch, feedforward, error squared, nonlinear, override, ratio and supervisory PID controllers had different model numbers, wiring and price tags. If you changed your mind, it meant buying a new controller and scrapping an existing one.

Signal characterization, selection, and calculations required separate boxes and special scaling factors. Only the strong survived. Leftover SAMA block and wiring diagrams and pot settings are the source of headaches to this day.

### So Many Choices, So Little Time

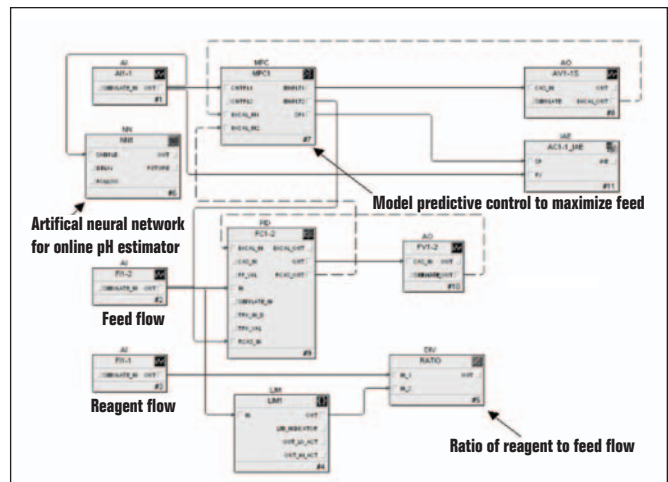
In the '80s, the PID hangovers from the '70s became available as function blocks that could be configured at will within the basic

process control system. Real-time simulations were developed to test configurations and train operators. The benefits from advanced regulatory control, instrument upgrades, and migration from analog to distributed control, far exceeded expectations. Continuous process control improvement became a reality.

Meanwhile, advanced process control (APC) technologies including constrained multivariable predictive control (CMPC), artificial neural networks (ANN), real-time optimization (RTO), performance monitoring and expert systems were commercialized. These new technologies required expensive software packages (\$100,000 and up), separate computers, special interfaces, and consultants to do the studies and implementation. The total bill could easily approach or exceed \$1 million for a medium-sized project, the

FIGURE 1.

### A MAXIMUM FEED SET UP



MPC and ANN applications are now as easy to configure as a PID.

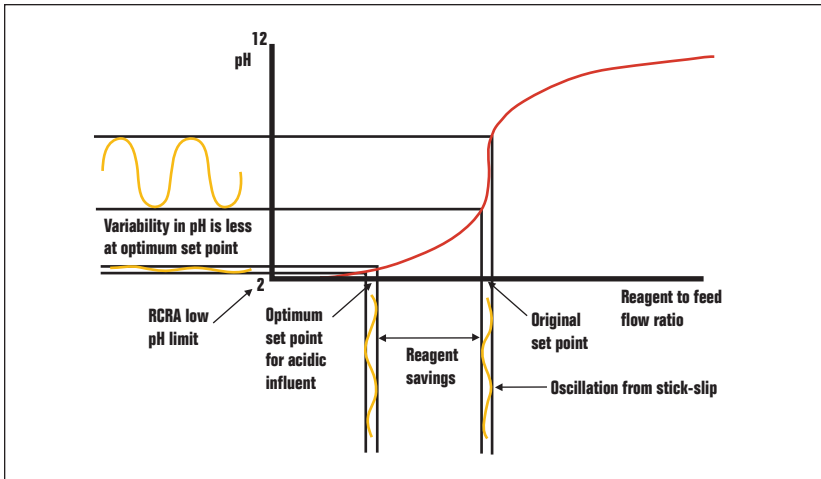
biggest chunk being the consultant's fee. Add to that the fact that the process knowledge needed to not just exploit the system effectively, but maintain it, disappeared when the consultants left the site. Even so, the incremental benefits from advanced multivariable control and global online optimization over advanced regulatory (PID) control were huge, and enough to justify an extensive deployment as documented in benchmarking studies.

### APC Integration

At the turn of the century, APC technologies were integrated into the basic process control system. Along with lower license fees, the whole cost of system implementation decreased by a factor of 20 or more with the automation of a variety of steps including configura-

FIGURE 2.

BIG SAVINGS



Analysis of variability in ratio enables a huge reagent savings.

tion, displays, testing, simulation and tuning.

For example, an adaptive control (ADAPT), a fuzzy-logic controller (FLC), a model-predictive controller (MPC), and an ANN can be graphically configured and wired as simply as a PID function block. Figure 1 shows how an MPC is set up to maximize feed and an ANN is used to provide an online estimator of pH. A right-click on the MPC block offers the option to create the display for engineering that in turn, has buttons for automated testing and identification of the model for the MPC block.

Similarly, a right click on the ANN block would offer an engineering display for an automated sensitivity analysis, insertion of delays and training for the ANN block. Model verification is also available to compare the predicted versus the actual response of the MPC and ANN blocks, and applications can be launched for performance monitoring and simulation of all blocks.

Now that we have the tools at our finger tips, how do we make the most out of the control opportunities the technologies can deliver?

The speed at which new APC techniques can now be applied is truly incredible. In the time it takes to read this article, an APC block can be configured. Rapid APC can rejuvenate and empower you to take the initiative and become famous by Friday. Instead of wasting time arguing the relative merits of an APC solution, it can be prototyped via simulation and demonstrated via implementation. Nothing melts resistance to change more than success. Of course, it is still best that the application drive the solution and that a pyramid of APC technologies be built in layers on a firm foundation. APC is not a fix for undersized or oversized valves and stick-slip.

To find the opportunities with the biggest benefits, an opportunity sizing is used to identify the gaps between peak and actual performance. The peak can be the best demonstrated from an analysis of cost sheets and statistical metrics. Increasingly, high-fidelity process simulations and virtual plants are used to explore new operating regions that are then verified by plant tests.

Big Opportunity

Perhaps the biggest opportunity for driving the application of APC is the development of online process performance indicators. Systems can now take online loop performance monitoring to an extraordinary level, but the proof of the pudding is in how these

metrics affect the process and what incremental benefits are possible.

The key variable for process performance monitoring is the ratio of the manipulated flow to the feed flow. The controlled variable is best expressed and plotted as a function of this ratio. For example, pH is a function of the reagent-to-feed ratio, column temperature is a function of the reflux-to-feed ratio, exchanger temperature is a function of the coolant-to-feed ratio, and stack oxygen is a function of the air-to-fuel ratio.

The process gain, which is the slope of the curve, is usually quite nonlinear. In the absence of first principle simulations, an ANN can be trained to predict the controlled variable from ratios and temperatures. For pH control, the inputs to the ANN are the ratios for all reagents and temperature, which affects the dissociation constants and activity coefficients. The future value of the ANN, which is the output, without the input delays used to compare and correct the ANN with a measured value, can be filtered and used to achieve much tighter control since dead time has been eliminated. The ANN future value can also be used to train a second ANN to predict a key ratio from a controlled variable.

After training, achieved by ramping a key ratio to the first ANN to cover the operating range, a more optimum setpoint based on a reduction in variability is used as the input to the second ANN and offers the means to predict the associated ratio. Note that it is the variability in the ratio that predicts how much the setpoint can be shifted. This is best seen in pH control where the variability in the ratio from a setpoint on the steep curve can translate to a significant, acceptable shift in the pH setpoint to the flatter portion of the titration curve, and a huge savings in reagent cost as shown in Figure 2.

The predicted benefit from improved process control is the difference between the present and the predicted and more optimum ratio, multiplied by the feed rate and cost per pound of the manipulated flow. For columns, reflux-to-feed ratio is converted to steam-to-feed ratio to calculate energy cost. Ultimately, past and future benefits of APC can be trended to show behavior not recognized from just the display of numbers.

A Virtual Prediction

A virtual plant can be run to provide a more accurate prediction of controlled variables and benefits if the model parameters such as dissociation constants, heat transfer coefficients, and tray efficiencies have been adapted to match the plant. A novel method has been developed to use MPC to simultaneously adapt multiple first-principle process model parameters. The targets for the MPC are the plant measurements, the controlled variables (in this case the corresponding virtual plant variables), and the manipulated variables which are the key simulation parameters. The MPC models for adapting the virtual plant are readily developed by running the dynamic simulations offline faster than real time. The identification of the MPC models is done non-intrusively and the adaptation of the virtual plant just requires the ability to read some key plant measurements.

Get it On

It used to be that if one were an MPC or ANN supplier or consultant, everything was either an MPC or ANN solution and never shall the twain meet. Now process engineers can choose the solution that best fits the application based on its dynamics and interactions.

Table 1 summarizes a consensus of the authors' first choices for

APC techniques and online property estimators. It is important to realize that there is considerable overlap in the ability of each APC technique and the quality of the set up and tuning which is often more of a significant factor than the technology. Also, there are still some PID experts that can make a PID “stand on its head.” These tables are not meant to rule out a technology but to help a person without any rigid technical predispositions to get started. Consider sampling technologies from several columns when you start developing your next project.

In the table, categories A through G are for processes dominated by dead time, lag time, runaway response, nonlinearities, need for averaging control, interaction and the need for optimization, respectively. Category F considers not only interactions that require decoupling but also measured disturbances that require feedforward action. Row A shows the first choices for process control solutions. Row B shows the first choices for online property estimators used to predict a stream composition or product quality online from measurements of flows, densities, pressures and temperatures.

It is well documented that MPC excels at applications that are dead time dominant. Derivative action is not suitable for such processes, so the comparison in the studies is based on a PI controller. For processes such as temperature that are dominated by a large lag time (time constant), FLC has proven to provide a performance edge, especially for set point changes. The concern about how to tune the FLC scale factors has been diminished by the use of auto tuners.

Diehard PID advocates can take solace in categories C or D for processes with severe non-self-regulating or extremely nonlinear responses. Here, high derivative and gain action is essential to deal with the acceleration of the controlled variable from the positive feedback response or increasing process gain. The approach to the steep portion of the titration curve can look like a runaway condition to a pH controller. Fast, pseudo-integrating processes such as furnace pressure, can ramp off scale in a few seconds and require the immediate action from gain and derivative modes of a PID controller. An adaptive controller can greatly help the tuning of a PID for slowly changing nonlinearities.

MPC is ideally suited for averaging control applications, such as surge tank level control, where tight control is not needed and it is more important to not jerk around a manipulated flow. Move suppression, which is the key MPC tuning factor, sets the degree that variability in the controlled variable is transferred to the manipulated variable.

For interactions and measured disturbances, MPC is able to accurately include the effects of delay and lag times automatically from response testing and model identification. While PID controllers can have dynamic decoupling and feedforward signals, in practice it is done on a steady-state basis for a small number of interactions or disturbances.

The greatest benefits have been achieved

from optimization. MPC is the choice here because it can simultaneously move set points to get greater process capacity or efficiency with the knowledge and ability to prevent present and future violation of multiple constraints. The delay and lag times associated with the effect of manipulated and disturbance variables on the constraint variables are automatically included in the optimization, which is not the case for traditional supervisory and advisory control.

Online property estimators, also known as “soft” or “intelligent” sensors, have only recently gone mainstream so the table is a first look that is sure to change as more experience is gained.

When properly applied, estimators can provide faster, smoother, and more reliable measurements of important process compositions and product quality parameters than analyzers. An analyzer is needed somewhere to provide the initial training, testing and ongoing feed back correction of estimators. If the analyzer is in the lab, time-stamped samples at relatively frequent intervals are essential. For the training and verification of ANN to predict stack emissions, skids of analyzers are rented. These ANN are then accurate for six

months or more if there are no significant changes in the process or equipment operating conditions. For most process applications, ongoing periodic feedback correction from an online or lab analyzer is needed. The delay and lag times, which are used in the estimator to time-coordinate the estimator output with an analyzer reading or lab result, are removed to provide a future value for process control.

Inherently, an ANN has an advantage for nonlinear processes with a large number of inputs that are dominated by delays. However, an ANN should not be used for prediction of values for inputs outside of the training data set because its nonlinear functions are not suitable for extrapolation. For linear processes with a relatively small number of inputs where lag times are more important, a linear dynamic estimator that uses the same type of models identified for MPC has been demonstrated to be effective. It can be readily integrated into MPC to take into account interactions. It is important to realize that both an ANN and LDE assume the inputs are uncorrelated. If this is not the case, principal component analysis (PCA) should be used to provide a reduced set of linear independent latent variables as inputs. Multivariate statistical process control (MSPC) software can automatically do PCA and partial least squares estimators. MSPC packages offer the ability to drill down and analyzer the relative contributions of each process measurement to a PCA latent variable and are the best choice for linear processes with a huge number of inputs that are correlated and dominated by time delays.

Finally, first principle models (FPM) are the estimator of choice for runaway conditions for finding and exploiting new optimums that are beyond the normal process operating range. Correlation of inputs and nonlinearity is a non-issue if the equations and parameters are known. Traditionally, FPM has been achieved by real time optimization that employs steady state high fidelity process simulations. More recently, virtual plants that use dynamic high fidelity simulations running faster than real time have been

**TABLE I.**  
**APC TECHNIQUES**

| Category  | Choice A | Choice B |
|---|----------|----------|
| A. Dead Time  | MPC      | ANN      |
| B. Lag Time   | FLC      | LDE      |
| C. Runaway  | PID      | FPM      |
| D. Nonlinear  | ADAPT    | ANN      |
| E. Averaging  | MPC      | LDE      |
| F. Interaction  | MPC      | LDE      |
| G. Optimization   | MPC      | FPM      |
| Definitions   |          |          |
| <ul style="list-style-type: none"> <li>• Adaptive Control (ADAPT)</li> <li>• Artificial Neural Network (ANN)</li> <li>• Advanced Process Control (APC)</li> <li>• Constrained Model Predictive Controller (CMPC)</li> <li>• Fuzzy Logic Control (FLC)</li> <li>• First Principle Model (FPM)</li> <li>• Linear Dynamic Estimator (LDE)</li> <li>• Model Predictive Control (MPC)</li> <li>• Multivariate Statistical Process Control (MSPC)</li> <li>• Principal Component Analysis (PCA)</li> <li>• Proportional-Integral-Derivative (PID)</li> <li>• Partial Least Squares (PLS)</li> <li>• Pseudo-Random Binary Sequence (PRBS)</li> <li>• Real-Time Optimization (RTO)</li> </ul> |          |          |

## FLUIDIZED-BED REACTOR TEMPERATURE CONTROL OPTIMIZATION

By Bernard Pelletier, BE, MSA

AN ADVANCED CONTROL strategy was developed that did not require any software platform and showed exceptional results including a 1.5% increase in throughput, a 1% reduction in energy consumption, and improved conveyor life. As a result, the project's initial investment was returned in less than three months.

### Unable to Adapt

Quebec Iron and Titanium (QIT) produces an enriched titanium dioxide slag in its ilmenite smelting plant in Canada. The slag that is produced by the reduction furnaces is further processed in a chloride-leaching plant called UGS. The slag feed to the fluidized bed dryer is stored outside. The inlet temperature and the moisture content of the slag feed vary quite dramatically. The previous PID controller was not able to adapt to quick changes in the heat requirements of the feed.

The first challenge was to measure inlet slag moisture and temperature levels. The second was to develop a control strategy to reject the non-linear disturbances coming from the feed (feedrate, moisture, temperature) especially during the cold-weather winter months when temperatures are at their lowest. With small non-linearity, a classical feed forward control for the feedrate would have been possible. However, the non-linearity was very important in this project and the feed forward approach without the energy and mass balance would not have worked.

The temperature of the slag entering the fluid bed was approximated with the outside air temperature, which was already measured. This estimation is fairly precise.

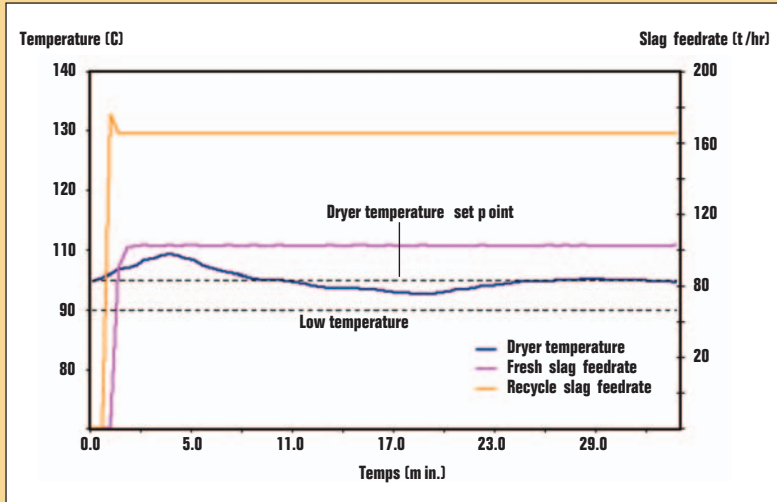
With a water-mass balance around the dryer, we developed an online soft sensor to estimate the fresh slag moisture content. This approach was more reliable and cost effective than buying a sensor, since the latter does not work below freezing temperatures.

With a mass balance calculation every 15 minutes and a moving average of one hour, the soft sensor was found to be precise at 96%. This is precise enough for the project's needs.

All these inputs (water balance, feed-rate and slag temperature) were transformed into a heat requirement for drying the feed. Thus, a variation of slag feedrate with a specific temperature and humidity becomes a variation of energy at the dryer input. This energy variation can be used through a dynamic model to adjust the fuel gas flow rate set point and to keep the dryer temperature at its set point.

Step changes on the feedrate were performed in order to build the dynamic process model and to design the feed forward controller. The PID controller is kept in the control strategy to compensate for model error and to keep the dryer temperature at its set point.

The new control strategy was initially simulated with Matlab Simulink (The Mathworks in Natick, Mass.) to minimize costs and to expedite the schedule. The simulator was built by Hatch with simple first-order-plus-delay transfer functions and was tuned with



archived data.

The strategy was then implemented on QIT's own control hardware—a Modicon PLC (Schneider Electric, North Andover, Mass.). The program was written using Concept language. The control algorithm was programmed in less than four days by the instrumentation technician.

### Improved Feedrate

Since the client's motivation was primarily to improve throughput in the winter months (November to April), the control strategy was initially tested during these conditions. During normal 12-hour shifts, the operators have to restart the dryer once or twice for different reasons, especially blockages. To maximize throughput, the dryer has to be brought back into full production as fast as possible. The results of a startup with the new control strategy are shown in the figure. It took 30 seconds to bring the dryer to full production. The dryer set point temperature remains at 105° C all the time. The temperature overshoots a bit to reach 109° C then gets back at 105° C and stabilizes after 24 minutes. There was no feedrate interruption due to low temperature alarm.

Production increased by 1.5 % with faster dryer startup without any production interruption due to a low or high alarm temperature. Energy consumption was reduced by 1% by maintaining the set point at 105° C during the start-up. It's no longer necessary to increase the set point by 5° C at start up. Longer conveyor life since there is no more dry slag temperature overshoot. The dryer slag output humidity is more stable and the dryer temperature is always kept over 100° C. This means easier operation and less energy required for the rest of the process.

**Bernard Pelletier** holds a PE and MS in Chemical Engineering from École Polytechnique de Montréal and is a member of the Ordre des ingénieurs du Québec. He is an advanced control engineer, based in Hatch Group's offices in Montreal, Canada.

used to provide future values of key concentrations in important streams. Virtual plants can also play an important role in developing process data that covers a wider range of plant operation for the training of an ANN. Virtual plants instill the confidence and provide the documentation to try new process set points and control strategies important to gain competitiveness.

*Editor's note: References available on the expanded web version of this story.*

Principal author **Greg McMillan** can be reached at [gkmcmi@msn.com](mailto:gkmcmi@msn.com).